



17 2613

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: ) Group Art Unit: 2613  
Sostawa et al. ) Examiner: Gims Philippe  
Title: DIGITAL TRANSCODING SYSTEM )  
Serial No.: 09/672,293 )  
Filed: September 27, 2000 ) Our Docket No. Harman.5761

Springfield, Massachusetts

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submits herewith Form PTO-1449 identifying patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313.

Kim M. Hume  
Kim M. Hume

10/13/05  
Date

Application Serial No.: 09/672,293  
Docket No. Harman.5761

Under 37 CFR 1.97 (b)

☐ This Information Disclosure Statement is being filed within three months of the filing date of the application, or the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Under 37 CFR 1.97 (c)

☒ This Information Disclosure Statement is being filed *after* three months of the filing date of this national application, or the date of entry into the national stage as set forth in §1.491 in an international application, or after the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

☒ A certification as specified in 37 CFR 1.97(e) is set forth below, or  
☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00).

Under 37 CFR 1.97(d)

☐ This Information Disclosure Statement is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Applicant hereby petitions for the consideration of this Information Disclosure Statement, 37 CFR 1.97(d)(ii). A certification as specified in 37 CFR 1.97(e) is set forth below.

☐ A certification as specified in 37 CFR 1.97(e) is set forth below, and  
☐ Fee as set forth in 37 CFR 1.17(p) (\$180.00)

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**CERTIFICATION (37 CFR 1.97(e))**

☒ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the relevant search report is enclosed herewith.

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

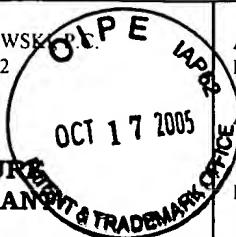
Application Serial No.: 09/672,293  
Docket No. Harman.5761

Applicants respectfully request that any deficiencies in the fees be charged to Deposit  
Order Account No. 50-3381.

Respectfully submitted,

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FILING DATE: September 27, 2000

SERIAL NO. 09/672,293

GROUP:2613

EXAMINER: Gims Philippe

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,570,197	10/29/1996	Boon			
	B	5,657,015	8/12/1997	Nakajima et al.			
	C						
	D						
	E						
	F						
	G						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	H						
	I						
	J						
	K						

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	L	Keesman et al. "Transcoding of MPEG bitstreams", Signal Processing: Image Communication, Elsevier Science Publishers, Amsterdam, NL, Vol. 8, Pages 481-500 (1996)
	M	Wilkinson, J. "Understanding MPEG Concatenation and Transcoding", ABU Technical Review, Asian Broadcasting Union, Kuala Lumpur, MW, No. 177, July-August 1998, Pages 3-9
	N	
	O	
	P	
	Q	

EXAMINER

DATE CONSIDERED

**EXAMINER:**

**Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**